

Effective on 12/08/2004.

As pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 180.00**Complete if Known**

Application Number	10/530,994
Filing Date	4/12/2005
First Named Inventor	Othmar Zuger
Examiner Name	Not Yet Assigned
Art Unit	Not Yet Assigned
Attorney Docket No.	UNX-002

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 501211 Deposit Account Name: Rauschenbach Patent Law Group, LLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
42	- 20 or HP = 1	x 50	= 50			
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
	- 3 or HP =	x	=			
HP = highest number of independent claims paid for, if greater than 3						

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
	- 100 =	/ 50 =	(round up to a whole number) x	=

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Surcharge Fee

\$130.00

SUBMITTED BY

Signature	<i>Kurt Rauschenbach</i>	Registration No. (Attorney/Agent) 40.137	Telephone 781.271.0503
Name (Print/Type)	Kurt Rauschenbach		Date October 7, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Express Mail Label No. ED475251697US

ATTORNEY DOCKET NO. UNX-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Othmar Züger

APPLICATION NO. 10/530,994 GROUP NO. Not Yet Assigned

FILING DATE: April 12, 2005 EXAMINER: Not Yet Assigned

TITLE: A Method For The Production Of A Substrate With A Magnetron
Sputter Coating And Unit For The Same

Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

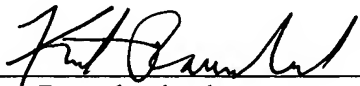
RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER
35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

In response to the Notification of Missing Requirements mailed on September 19, 2005, the Commissioner is hereby authorized to charge Deposit Account No. 501211 the amount of \$180.00 for the payment of the \$50.00 Additional Claim Fee and the \$130.00 Surcharge Fee for the above-identified application. Also, enclosed are a copy of the Executed Declaration that was submitted to the United States Patent Office on September 2, 2005, and a copy of the Return Receipt Postcard For the Declaration Stamped by the United States Patent Office on September 7, 2005.

Additionally, enclosed are Part 1 of the Notification Of Missing Requirements Under 35 U.S.C. 371 In the United States Designated/Elected Office (DO/EO/US), a Fee Transmittal Form, and a General Transmittal Form.

Should you have any questions or comments, please do not hesitate to contact the undersigned.

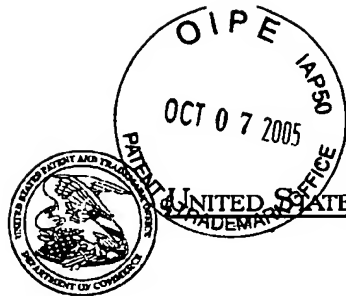
October 7, 2005


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10/14/2005 LLANDGRA 00000058 501211 10530994

01 FC:1615 50.00 DA
02 FC:1617 130.00 DA

Doc. 1791



10-11-05

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/530,994	Othmar Zuger	UNX-002

INTERNATIONAL APPLICATION NO.

PCT/CH03/00674

I.A. FILING DATE	PRIORITY DATE
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10/15/2003

10/15/2002

23701
RAUSCHENBACH PATENT LAW GROUP, LLC
P.O. BOX 387
BEDFORD, MA 01730

CONFIRMATION NO. 2169

371 FORMALITIES LETTER



OC000000017004990

Date Mailed: 09/19/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/12/2005
- Copy of the International Search Report filed on 04/12/2005
- Preliminary Amendments filed on 04/12/2005
- Information Disclosure Statements filed on 07/11/2005
- U.S. Basic National Fees filed on 04/12/2005
- Priority Documents filed on 04/12/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$50 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$180 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 50
 - \$50 for 22 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

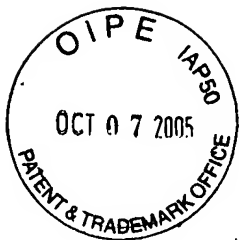
*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/530,994	PCT/CH03/00674	UNX-002



 **COPY**

EXPRESS MAIL LABEL NO.
ED475251697US

ATTY. DOCKET NO. UNX-002

The "RECEIVED" stamp of the U.S. Patent and Trademark Office imprinted hereon acknowledges the filing of:

Power Of Attorney And Correspondence Address Indication Form (1 pg.);
Declaration (37 CFR 1.63) (1 pg.); Transmittal Form (1 pg.); and Return Receipt
Postcard.

Applicants: Züger
Serial No.: 10/530,994
Filed: April 12, 2005
Docket No.: UNX-002
Attorney: Kurt Rauschenbach

Date Mailed: September 2, 2005

Doc. 1751

